LPL BY THE NUMBERS

AN INDUSTRY LEADER

1989 LPL was founded in 1989

20K+ Financial professionals serviced

800+ Financial institution partners

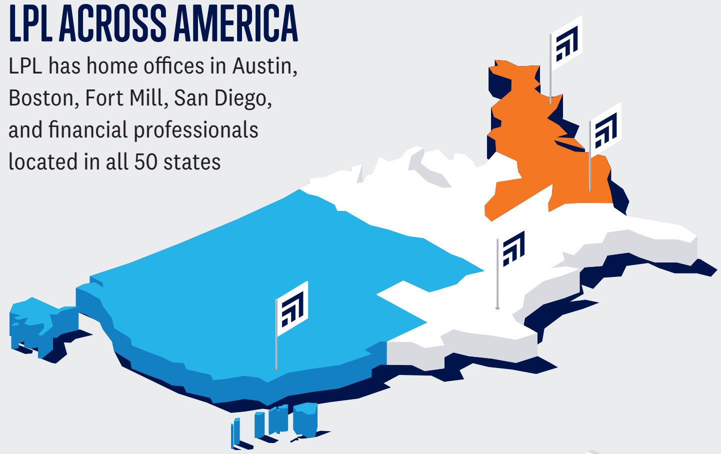
FORTUNE 500 Ranked no. 442 on the Fortune 500 List[1]

#1 INDEPENDENT BROKER/DEALER
In the United States[2]

#1 MARKET SHARE
among 3rd-party providers of brokerage services to banks and credit unions[3]

#3 RIA CUSTODIAN
In the United States[4]

LPL ACROSS AMERICA
LPL has home offices in Austin, Boston, Fort Mill, San Diego, and financial professionals located in all 50 states



SERVING & PROTECTING YOUR ASSETS

7M+ Client accounts serviced

$1T+ Brokerage and advisory client assets, serviced or custodied

24/7 Access to your account information via our mobile app and portal

$40M DEDICATED ANNUAL BUDGET

We invest in cybersecurity and data privacy to keep you and your data protected from cyberattacks

800 Risk & compliance experts provide guidance and oversight

100 Cybersecurity experts to keep you and your assets protected

ZERO PROPRIETARY LPL PRODUCTS WITH THOUSANDS OF INVESTMENT OPTIONS

Access to a breadth of investment solutions—from mutual funds to bonds to alternative investments—and fee-based investment programs that your financial professional can leverage to craft your customized portfolio

Data as of August 2, 2022

1 Fortune 500 ranks U.S. companies based on a review of the prior year's total revenue and factors such as profits after taxes, year-end assets and total stockholders' equity.
2 As reported by Financial Planning magazine, June 1996-2022, based on total revenue.
3 2021-2022 Kehrer Bielan Research & Consulting Annual TPM Report. Based on market share of AUM, revenue, and advisors.
4 Cerulli Associates, 2021 U.S. RIA Marketplace Report

LPL BY THE NUMBERS

ABOUT LPL FINANCIAL:

LPL Financial (Nasdaq: LPLA) was founded on the principle that the firm should work for the advisor, and not the other way around. Today, LPL is a leader in the markets we serve,* supporting more than 20,000 financial advisors, and more than 800 institution-based investment programs and 500 independent RIA firms nationwide. We are steadfast in our commitment to the advisor-centered model and the belief that Americans deserve access to personalized guidance from a financial advisor. At LPL, independence means that advisors have the freedom they deserve to choose the business model, services, and technology resources that allow them to run their perfect practice. And they have the freedom to manage their client relationships, because they know their clients best. Simply put, we take care of our advisors, so they can take care of their clients.

*Top RIA custodian (Cerulli Associates, 2020 U.S. RIA Marketplace Report); No. 1 Independent Broker-Dealer in the U.S. (Based on total revenues, Financial Planning magazine 1996-2022); among third-party providers of brokerage services to banks and credit unions, No. 1 in AUM Growth from Financial Institutions; No. 1 in Market Share of AUM from Financial Institutions; No. 1 in Market Share of Revenue from Financial Institutions; No. 1 on Financial Institution Market Share; No. 1 on Share of Advisors. (2021-2022 Kehrer Bielan Research & Consulting Annual TPM Report). Fortune 500 as of June 2021.

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